

Regulatory & Compliance

Helping ensure that you are always well informed and in compliance with the increasingly complex web of regulations that affect every business is a primary goal of the attorneys at Galloway. Our decades of experience advising national and international companies, as well as litigating on their behalf when regulatory violations are alleged, allows us to help you minimize your risk and remain focused on the successful operation of your business.

We regularly counsel and defend clients in automobile, employment, hospitality, maritime, transportation and trucking, cyber liability, and other heavily regulated arenas. Our clients benefit from our ongoing commitment to staying abreast of the regulatory changes that affect their industries and the industries of their stakeholders and customers. Our attorneys protect our clients' interests by advising on and drafting policies and procedures and formulating and revising safety handbooks and employee manuals. We understand both the obvious and the implied risks, and arm our clients with that knowledge.

We are prepared to advise you on every facet of compliance program development, including reviewing current protocols, creating new policies and safeguards, overseeing rollout, and monitoring effectiveness over time. This process includes evaluating your proposed operations and working with the appropriate regulatory officials to determine what is legal and the best path forward for your business. By conducting regulatory risk analysis for strategic transactions, we can help you evaluate self-reporting requirements and resolve day-to-day compliance matters.

For example, we have a long history working with international maritime clients, whom we regularly counsel on compliance with the Jones Act, OFAC sanctions, and compliance surrounding the SDN list. In emergency situations – such as a vessel arrest, collision, allision, personal injury, or oil discharge – we can provide a rapid response, including performing on-call scene investigations, interviews, and obtaining the necessary information to defend a matter from pre-suit through litigation.

Clients operating within the digital world also require specialized counsel. To ensure compliance with the rapidly evolving regulatory landscape and to avoid running afoul of regulators, our attorneys provide compliance assessments, due diligence, preparation of cyber-conscious business-to-business agreements, and trade secret/digital asset protection.

Overall, we believe that the best defense against government investigations, penalties, and steep fines is to assist with:

- Effective compliance policies that mitigate risk
- Employee manuals and quick guides that are easy to navigate and understand
- A program of regular compliance auditing

Attorneys by State

FLORIDA

Sarah M. Baggett

Autumn P. George

Travis A. Swanson

TEXAS

Cameron Weir

- Ongoing communication with our attorneys, who focus on keeping you on the right side of regulatory authorities at every level